



## Services Fee Table

Please read Item 4 and Item 5 of the Form ADV Part 2A ("Brochure"), as these sections of the Brochure contain important details about Wolfpack Investment Management's financial planning & investment advisory services and fees. Our fees are negotiable. We are a fee-only financial advisory firm; the fees below apply to the services you request. Please see notes section at the bottom for further explanations.

Fees Charged by Financial Advisor	Fee Percentage/Amount (MM = Million)		Frequency of Fee Charged	Services
	AUM Value	Annual Fee		
Assets Under Management ("AUM")	\$0 – 2.5MM	0.85%	Quarterly in Arrears <sup>1</sup> Daily Average	Customized portfolio construction and ongoing portfolio management.  We have full trading discretion.
	\$2.5MM – 5MM	0.75%		
	\$5MM+	0.70%		
Held Away Account Services Fees	\$0 – 2.5MM	0.45%	Quarterly in Arrears <sup>2</sup> Value as of last day of the previous quarter	Customized portfolio construction with the limited investment funds available within the respective account.
	\$2.5MM – 5MM	0.35%		
	\$5MM+	0.30%		
Employee Benefit Plan Services Fees	\$0 – 5MM	0.65%	Quarterly in Arrears <sup>2</sup> Value as of last day of the previous quarter	We act as a 3(21) Investment Advisor in a co-fiduciary capacity.
	\$5MM – 10MM	0.50%		
	\$10MM – 15MM	0.40%		
	\$15MM+	0.30%		
Commissions to Advisor	<b>None</b>			
Performance Based Fees	<b>None</b>			
Financial Planning Hourly Fee	\$200 per hour		Hourly with a minimum of 2 hours	Hourly Financial Planning also known as "Project Based Financial Planning."
Financial Planning Flat Fee	\$2,000		½ upfront and ½ after delivery <sup>3</sup>	Financial Plan Creation for a flat fee; fees may be higher in the case of a client's financial complexity.
Financial Planning Ongoing Fee	<b>First Month: \$250</b> Ongoing Months: \$150		Monthly fee amount is billed <b>in advance</b>	Comprehensive Financial Planning also known as "Ongoing Financial Planning."
Educational Seminar Fees	\$0 - \$3,000 or \$0 - \$150 per participant		½ upfront and ½ day of conclusion of seminar	Educational Seminars
Speaking Engagement Fees	\$0 - \$4,000 + travel expenses (depending on sponsor, date, location and/or program)		½ upfront and ½ at conclusion of engagement	Speaking Engagements

Additional fees & costs that may arise from working with a custodian, please discuss with Financial Advisor:

Additional Fees/Costs, if any	Custodian	Yes/No
Brokerage Maintenance Fees Paid to Custodian	TD Ameritrade	<b>None</b>
	Interactive Brokers <sup>4</sup>	<b>None</b>
Commissions Per Trade Paid to Custodian	TD Ameritrade	<b>None</b>
	Interactive Brokers <sup>4</sup>	May be assessed <sup>4</sup>

Effective Date: October 5, 2021

Notes:

- The advisory fee is a tiered fee and is calculated assessing the percentage rate and applying the fee rate to the daily average account value over the last quarter. Accounts initiated or terminated during a calendar quarter will be charged a prorated fee based on the amount of time in the billing calendar period. An account may be terminated with written notice at least 30 calendar days in advance. Since fees are paid in arrears, no refund will be needed upon the termination of the account. See examples for full quarter calculation.
  - Account Market Value: \$10,500      Daily Average Account Value: \$10,400  
i. Calculation:  $(0.85\% / 4) * \$10,400 = \$22.10$
  - Account Market Value: \$2,800,000      Daily Average Account Value: \$2,750,000  
i. Calculation:  $(0.75\% / 4) * \$2,750,000 = \$5,156.25$
- The advisory fee is a tiered fee and is calculated assessing the percentage rate and applying the fee rate to the account value as of the last day of the previous quarter. Accounts initiated or terminated during a calendar quarter will be charged a prorated fee based on the amount of time in the billing calendar period. Since fees are paid in arrears, no refund will be needed upon the termination of the account.
- We will not bill an amount above \$500 more than 6 months in advance.
- We recommend TD Ameritrade as the custodian for client use. Should we have clients that are **not accommodated** at TD Ameritrade (exclusively clients with non-US citizenships from certain countries), we will recommend Interactive Brokers as custodian. Interactive Brokers charges certain fees to these client accounts because of their IBKR Pro platform. **These fees go directly to Interactive Brokers and not to Wolfpack Investment Management.**